

10-23-03

2162 #6
11-4-03

Attorney Docket No. 01767/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Eiji NAKAZAWA et al
Serial No. : 09/980,232
Filed : November 27, 2001
For : SETTLEMENT SYSTEM AND
SERVER APPARATUS
Art Unit : 2162
Examiner :

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INFORMATION DISCLOSURE STATEMENT

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Submitted herewith are the following:

- (1) Copy of an Action (and English translation thereof)
issued by the Chinese Patent Office in the counterpart
Chinese application;
- (2) Copy of one of the cited publications; and
- (3) Form PTO/SB/08A. It is requested that an initialed
copy of the Form PTO/SB/08A be returned to indicate
that the publication listed therein has been considered
and made of record.

An English translation of said Chinese Office Action is
provided, thereby satisfying the requirements for a concise
explanation of relevance for any non-English language
publications cited therein (MPEP 609 III A(3)).

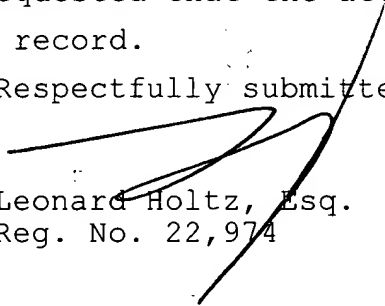
The enclosed Chinese Office Action cites the following references:

1. WO 9722074 A
2. CN 1239378 A
3. US 5,855,007 A

Submitted herewith is a copy of Chinese document No. 1239378 A, which is listed on the attached Form PTO/SB/08A. Copies of WO 97/22074 and USP 5,855,007 were previously submitted to the U.S. Patent Office with the Information Disclosure Statement filed November 27, 2001, concurrently with the filing of the original application papers.

It is respectfully requested that the attached publications be considered and made of record.

Respectfully submitted,


Leonard Holtz, Esq.
Reg. No. 22,974

Encls.

Dated: October 21, 2003

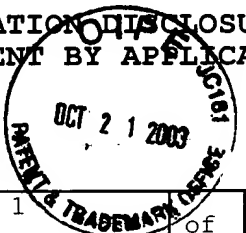
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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		Application Number	09/980,232		
		Filing Date	November 27, 2001		
		First Named Inventor	Eiji NAKAZAWA et al		
		Group Art Unit	2162		
		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	01767/LH

U.S. PATENT DOCUMENTS

Exam. Inits*	Cite No ¹	Document Number	Kind Code ²	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion

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FOREIGN PATENT DOCUMENTS

Exam Inits*	Cite No ¹	Offc ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
		CN	1239378	A		12-22-1999		

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

DATE MAILED: October 21, 2003